

BGB Securities, Inc. Privacy Notice

BGB Securities, Inc. considers protecting the confidentiality of nonpublic personal information of our customers to be of the utmost importance. This privacy notice describes the information that we may collect, when we may disclose that information, and how we maintain the security and confidentiality of your nonpublic personal information.

INFORMATION WE COLLECT

BGB Securities, Inc. collects and retains information about you only when it reasonably believes that the information will assist us in servicing your accounts. We collect certain information to protect your account and to ensure accuracy in reporting and recordkeeping. The information is also used to comply with certain laws and regulations that may apply to us and to help us understand your financial needs as we design or improve our products and services. We will also use your information to administer your accounts and transactions and to provide you with products and services that will best assist you. We collect nonpublic personal information about you from the following sources:

- Your account agreement or other forms, correspondence or conversations (examples include name, date of birth, address and Social Security Number); and
- Your transactions with us (examples include account activity, holdings and balances).

INFORMATION WE DISCLOSE

We understand that you expect the personal information you have entrusted to us to be handled with great care. We may share information about you under agreements with our affiliates or service providers in order for them to provide customer service, to process transactions, or to administer accounts for you. The types of entities with whom we share information include banks, broker-dealers and other financial service providers. We share only information about our recordkeeping or transactions involving you or your account, such as your name, address, Social Security Number, account activity, holdings and balances.

BGB Securities, Inc. does not disclose nonpublic personal information about its customers to nonaffiliated third parties, except as permitted by applicable law. In compliance with applicable laws, we may share nonpublic personal information with nonaffiliated third parties that perform services on our behalf to you or a service provider. In all cases, your information is strictly protected. Each agreement requires that service providers keep the information strictly confidential and use it only for the purpose for which it was intended. We do not sell your nonpublic personal information.

FORMER CUSTOMERS

We will only disclose nonpublic personal information about former customers as required by law.

CONFIDENTIALITY AND SECURITY

BGB Securities, Inc. restricts access to nonpublic personal information about you to those employees who need to know the information in order to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your nonpublic personal information.